



3628
RSW

10269-13CON
(HSX/001 CON1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Timothy M. Keiser, et al.

Application No.: 09/465,607 Confirmation No.: 9080

Filed : December 17, 1999

For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION

Group Art Unit : 3628

Examiner : Graham, Clement B.

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment; [] a Reply to Office Action; [] a Supplemental Amendment; [] a substitute specification; [X] a Revocation of Power of Attorney and New Power of Attorney; [] a Declaration; [] a Supplemental Declaration; [] a Power of Attorney; [] an Associate Power of Attorney; [] formal drawings; to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE ADDITIONAL FEES
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TOTAL CLAIMS - * = X \$ = \$.00

INDEPENDENT - * * = X \$ = \$.00
CLAIMS

FIRST PRESENTATION OF A
MULTIPLE DEPENDENT CLAIM + \$290 = \$ 0.00

- [] A check in the amount of \$ _____ in payment of the filing fee is transmitted herewith.
 - [] Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.
 - [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- [] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a)(1); [] \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a)(2); [] \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a)(3); [] \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a)(4); [] \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a)(5).

[] A check in the amount of [] \$110.00; [] \$420.00; [] \$950.00; [] \$1,480.00; [] \$2,010.00 in payment of the extension fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge the [] \$110.00; [] \$420.00; [] \$950.00; [] \$1,480.00; [] \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,

Joel Weiss
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I hereby certify that this
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S. : ~~John~~ Van Goodm